

[www.companiesoffice.govt.nz](http://www.companiesoffice.govt.nz) | 0508 COMPANIES | 0508 266 726

Post your completed form to: National Processing Centre, Private Bag 92061, Victoria Street West, Auckland 1142

## Filing form for FMC reporting entities

Financial Markets Conduct Act 2013 (FMC Act 2013)

**Entity name**

**Entity number**

**Balance date**

### IMPORTANT

- › This form should only be used by FMC reporting entities (other than companies).
- › All companies registered on the NZ Companies Register that are FMC reporting entities should register financial statements using the online filing service at [www.companies.govt.nz](http://www.companies.govt.nz). Do not submit company financial statements in paper form.
- › Schemes registered under the FMC Act 2013 must file financial statements online on the Disclose Register at <https://disclose-register.companiesoffice.govt.nz>

Complete the following information

**Total assets**

**Total liabilities**

**Total revenue**

**Currency**

**Audit firm / Auditor**

**Auditor opinion**

- Exempt
- Unqualified opinion
- Unqualified opinion with emphasis on matter
- Unqualified opinion with other matter
- Qualified opinion
- Adverse opinion
- Disclaimer of opinion

**Form completed by**

Name:

Address:

Email address:

Telephone number:

Fax number (if any):

Entity name

Entity number

**Payment details**

**Amount**

	Companies Office fee GST excl	FMA Levy GST excl	Total GST excl	GST	Total GST incl
<input type="checkbox"/> Financial statements filing fee	\$175.00	\$65.22	\$240.22	\$36.03	<b>\$276.25</b>

Please indicate here if another fee applies, e.g. annual return fee\*

<input type="checkbox"/> Amount: \$	<input style="width: 100%;" type="text"/>	For:	<input style="width: 100%;" type="text"/>
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\*Annual return fee - \$66.90 (including GST)

(Section 127 Friendly Societies and Credit Unions Act 1982 or Section 103 Building Societies Act 1965)

**Method of payment**

Choose your payment method from the options below. **Please do not send any cheques, cash or purchase orders.**

**Credit card**

Credit card type:

- |                                     |                                 |
|-------------------------------------|---------------------------------|
| <input type="checkbox"/> Visa       | <input type="checkbox"/> Amex   |
| <input type="checkbox"/> Mastercard | <input type="checkbox"/> Diners |

Expiry date

Name of cardholder

Card number

Card Security Code

**Card Security Code**

Your Card Security Code number is the 3 or 4 digit number printed on your card.  
For Visa, Mastercard & Diners cards this is typically found printed on the signature panel on the back of your card.  
On Amex cards this is a 4-digit number printed on the front of the card, above the main credit card number.

Signature of cardholder .....

**or**

**Direct debit**

Your (or your organisation) name

**OR**

Your 9-digit User ID No

Signature .....