



Email your completed form to: auditorsregister@mbie.govt.nz

Application for approval to be eligible to act or be appointed as an auditor for New Zealand companies

Please complete **all fields**, attach supporting documents and sign this application form before emailing it to auditorsregister@mbie.govt.nz.

1. Application details

Your name

Your phone number

Town or city in which your principal place of business is located

Country in which your principal place of business is located

Physical business address

Postal business address

Business email address

am applying to the Registrar of Companies (New Zealand) for approval to be eligible to act or be appointed as an auditor for New Zealand companies, other than listed companies or FMC reporting entities.

I am submitting my application in terms of the requirements of section 36(1)(d) and 36A of the [Financial Reporting Act 2013](#), and regulation 5 of the [Financial Reporting Regulations 2015](#).

2. Auditor registration details

I confirm that I am a registered auditor, details as below:

Country/jurisdiction

Regulatory authority and membership number

Name of professional body and membership number

3. Details of any disciplinary proceedings

In the country/jurisdiction where I am registered, I am not, and have never been, the subject of disciplinary proceedings or action that might lead to disciplinary proceedings,

or

If you are, or have been, subject to such proceedings or actions please describe them here:

4. Details of any special conditions

In the country/jurisdiction where I am registered, I am not subject to any special conditions in carrying on as an auditor, as a result of criminal, civil or disciplinary proceedings.

or

If you are subject to such special conditions please describe them here:

5. Details of any criminal convictions

I confirm that I do not have any criminal convictions in New Zealand or elsewhere.

or

If you do have criminal convictions, please describe them here:

6. Accompanying documents

In accordance with Regulation 5 of the Financial Reporting Regulations 2015 I have submitted as part of my application for registration the following:

- › A copy of my registration certificate and membership of professional body
- › Evidence that I have, or am otherwise subject to, adequate and effective systems for providing reasonable assurance that my activities as an auditor in respect of specified entities are carried out in accordance with all relevant laws and other requirements and standards (including all applicable auditing and assurance standards).
- › Evidence that I am subject to—
 - a. complaints rules that can reasonably be regarded as adequate and appropriate; and
 - b. adequate and effective systems for providing reasonable assurance that those rules are properly applied and complied with.
- › A copy of a Certificate of Currency for Professional Indemnity Insurance that can reasonably be regarded as adequate and appropriate.

Signature

Date of application